



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Stuart A. Fraser et al.

Application No.

: 09/670,900

AUG 2 8 2003 GROUP 3600

Confirmation No.

: 7800

Filed

: September 28, 2000

For

: SYSTEMS AND METHODS FOR

TRANSFERRING ITEMS WITH RESTRICTED

TRANSFERABILITY

Group Art Unit

: 2161

New York, New York 10020

August 21, 2003

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S. PATENT DOCUMENTS

5,710,887	Chelliah et al.	January 20, 1998
5,850,442	Muftic	December 15, 1998
6,012,045	Barzilai et al.	January 4, 2000
6,460,020 B1	Pool et al.	October 1, 2002
6,490,567 B1	Gregory	December 3, 2002
6,571,334 B1	Feldbau et al.	May 27, 2003
6,574,608 B1	Dahod et al.	June 3, 2003

OTHER DOCUMENTS

Fujimura, Ko et al., "General-purpose Digital Ticket Framework, "Proceedings of the 3rd USENIX Workshop on Electronic Commerce, Boston, Massachusetts, August 31-September 3, 1998.*

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

The document marked with an asterisk (*) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, this reference was cited in a July 17, 2003 Examiner's First Report on applicants' corresponding Australian Patent Application No. 78369/00.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

Evely C. Mak

Evelyn C. Mak Registration No. 50,492 Agent for Applicants

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♦ hereby certify that this Correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope Addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on

Signature of Person Signing

Lillian-Garcia



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #9INS 111/03

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TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the [x] application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any

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FORM PTO-1449

AUS 2 5 2003

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. CF/008 SERIAL NO. 09/670,900

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT
Stuart A. Fraser et al.

GROUPLEST 8 2003

FILING DATE September 28, 2000 GROUPUSRT 8 2003 2161

U.S. PATENT DOCUMENTS

GROUP 3600

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,710,887	01/20/98	Chelliah et al.	395	226	
	5,850,442	12/15/98	Muftic	380	21	
	6,012,045	01/04/00	Barzilai et al.	705	37	
	6,460,020 B1	10/01/02	Pool et al.	705	26	12/29/97
	6,490,567 B1	12/03/02	Gregory	705	39	01/15/97
	6,571,334 B1	05/27/03	Feldbau et al.	713	170	11/28/00
	6,574,608 B1	06/03/03	Dahod et al.	705	26	06/11/99

FOREIGN PATENT DOCUMENTS

DOCUMENT		COUNTRY.	01.400	011001400	TRANSLATION	
NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	DOCUMENT NUMBER	Ι ΙΙΔΙΕ		I DATE I COUNTRY I CLASS	I DATE I COUNTRY I CLASS I SUBCLASS	I DATE I COUNTRY I CLASS I SUBCLASS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Fujimura, Ko et al., "General-purpose Digital Ticket Framework,"Proceedings of the 3rd USENIX Workshop on Electronic Commerce, Boston, Massachusetts, August 31-September 3, 1998.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



Creation date: 09-16-2004

Indexing Officer: BNGUYEN7 - BAOHUYEN NGUYEN

Team: OIPEBackFileIndexing

Dossier: 09670900

Legal Date: 12-10-2003

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